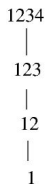


# The Number of Distinct Minors of a Permutation

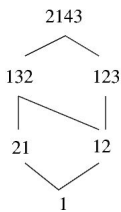
Cheyne Homberger

August 9, 2010

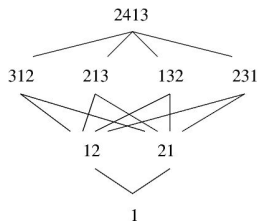
$p = 1234$



$p = 2143$



$p = 2413$



1 Maximal Minors

2 Expectation and Variance

3 Minors of any Size

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## Definition

A  $(n - k)$ -minor of an  $n$ -permutation is a pattern of size  $(n - k)$  contained in  $p$ . Define  $M_k(p)$  to be the set of  $(n - k)$ -minors of  $p$ . We call an  $(n - 1)$ -minor a *maximal minor*.

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## Fact

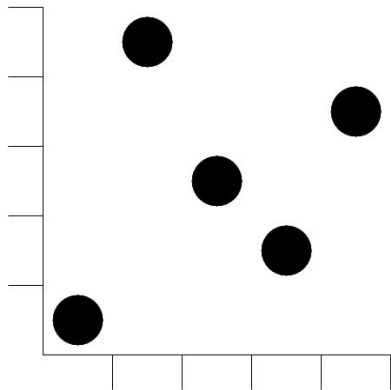
For any  $n$ -permutation  $p$ ,  $|M_k(p)| \leq \binom{n}{k}$ . In particular,  $p$  has at most  $n$  maximal minors.

## Definition

Let  $p \in S_n$ , and  $i \in [n]$ .

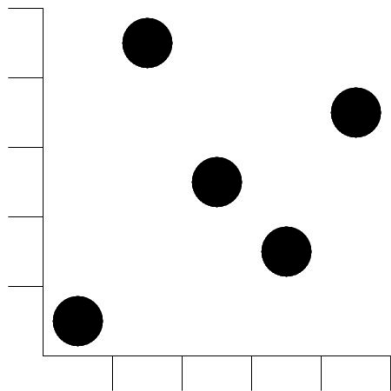
Define  $M(p, i) \in S_{n-1}$  to be the  $(n - 1)$ -permutation obtained by deleting the  $i$ 'th entry of  $p$ , and renumbering the remaining elements with respect to order.

$p = 15324$

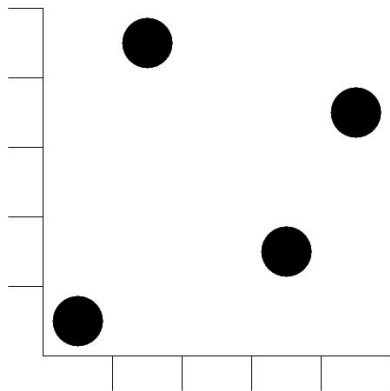




$$p = 15324$$



$$M(p, 3) = 1423$$



## Definition

Let  $p = p_1 p_2 \dots p_n$  be an  $n$ -permutation. Define a *consecutive pair* to be a pair of entries  $(p_i, p_{i+1})$  such that  $|p_i - p_{i+1}| = 1$ .

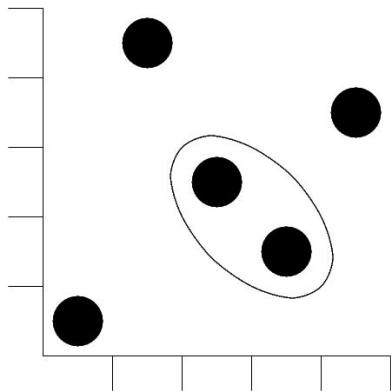
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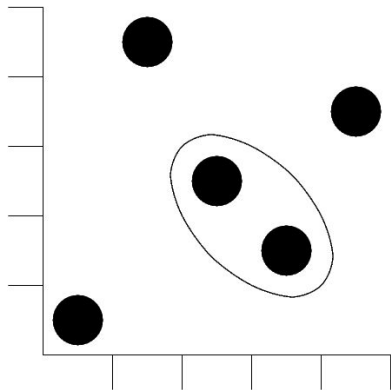
## Definition

We say that the sequence  $(p_j, p_{j+1}, \dots, p_{j+k-1})$  is a *consecutive run of length  $k$*  when the pair  $(p_i, p_{i+1})$  is consecutive for each  $j \leq i \leq j + k - 2$ .

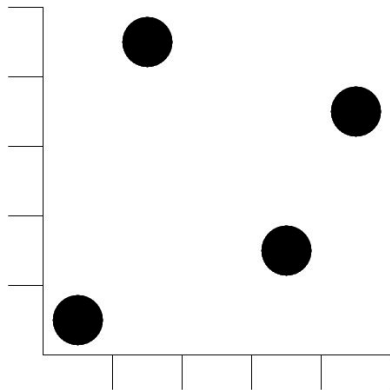
$p = 15324$



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$M(p, 3) = 1423 = M(p, 4)$



## Lemma

*Let  $p = p_1 p_2 \dots p_n$  be any  $n$  permutation, and  $i, j \in [n]$  with  $i \neq j$ . It follows that  $M(p, i) = M(p, j)$  if and only if  $p_i$  and  $p_j$  are a part of the same consecutive run.*

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## Theorem

*Define  $C(p)$  to be the number of consecutive pairs of entries of  $p$ . Then  $|M_1(p)| = n - C(p)$ .*

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## Corollary

*Let  $q$  be any  $n$ -permutation. Then  $q$  is contained as a pattern in exactly  $n^2 + 1$  distinct  $(n + 1)$ -permutations.*

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We can insert an entry into  $q$  in exactly  $(n + 1)^2$  different ways. By the lemma, inserting an entry in two different locations will result in the same permutation only when we create the same consecutive run in two different ways.

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Now, we can create  $2n$  different consecutive pairs, and each of these pairs can be created in exactly 2 ways.

Therefore,  $q$  is contained in exactly  $(n + 1)^2 - 2n = n^2 + 1$   $(n + 1)$ -permutations. □

## Corollary

*The expected number of maximal minors of a random  $n$ -permutation is  $n - 2\frac{n-1}{n}$*

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## Proof.

$$(n-1)! \left( (n-1)^2 + 1 \right) = n! \left( n - 2\frac{n-1}{n} \right). \quad \square$$

## Lemma

Let  $b_{n,k}$  be the number of  $n$ -permutations with exactly  $k$  distinguished consecutive pairs, and let

$B(z, u) = \sum_{n,k \geq 0} b_{n,k} z^n u^k$ . Set  $b_{0,0} = 1$ . Then

$$B(z, u) = \sum_{m \geq 0} m! \left( z + \frac{2z^2 u}{1 - zu} \right)^m.$$

## Theorem

Let  $a_{n,k}$  be the number of  $n$ -permutations with exactly  $k$  consecutive pairs (and hence  $n - k$  distinct minors). Set  $a_{0,0} = 1$ , and  $A(z, u) = \sum_{n,k \geq 0} a_{n,k} z^n u^k$ . Then

$$A(z, u) = \sum_{m \geq 0} m! \left( z + \frac{2z^2(u-1)}{1-z(u-1)} \right)^m.$$



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## Proof.

$A(z, u + 1) = B(z, u)$ , and so  $A(z, u) = B(z, u - 1)$ . □

## Corollary

*The generating function for the number of  $n$ -permutations with all distinct maximal minors is given by*

$$A(z, 0) = 1 + z + 2z^4 + 14z^5 + 90z^6 + 646z^7 + 5242z^8 \dots$$

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## Theorem (Tauraso 2006)

$$a_{n,0} \sim \frac{n!}{e^2}.$$

## Theorem

Fix  $n \geq 1$ , and let  $\chi : S_n \rightarrow [n]$  be the variable indicating the number of distinct maximal minors. Then

$$\mathbb{E}(\chi) = n - 2\frac{n-1}{n}$$

and

$$\mathbb{V}(\chi) = 4\frac{(n-2)^2}{n(n-1)} + 2\frac{n-1}{n} - 4\frac{(n-1)^2}{n^2}.$$

1 Maximal Minors

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## Definition

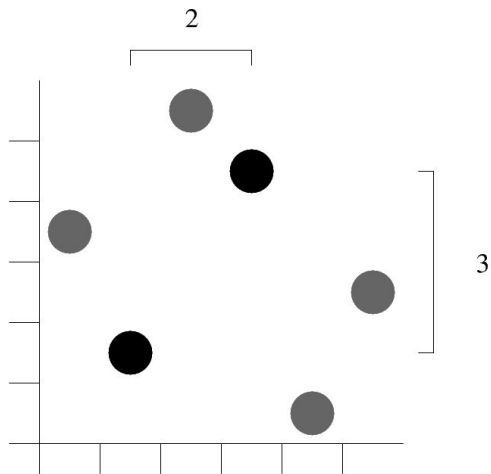
Let  $p = p_1 p_2 \dots p_n$  be a permutation. Define the *gap* between entries  $p_i$  and  $p_j$  to be  $gap(p_i, p_j) = |i - j| + |p_i - p_j|$ .

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Define the *minimum gap* of  $p$  by

$$mingap(p) = \min\{gap(p_i, p_j) : i, j \in [n]\}.$$

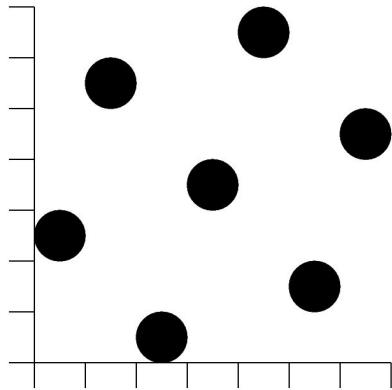


For,  $p = 426513$ ,  $gap(2, 5) = 5$ .

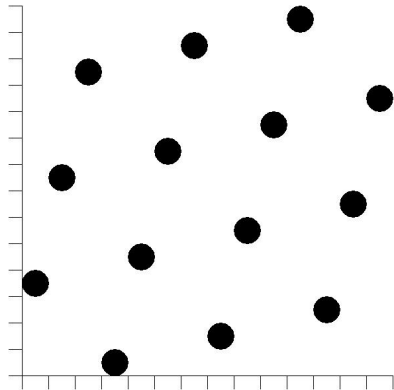


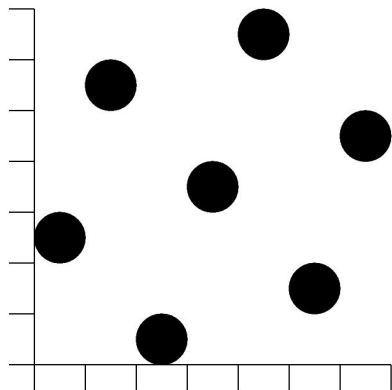
## Theorem

*A permutation  $p$  has exactly  $\binom{n}{k}$   $(n - k)$ -minors if and only if  $\text{mingap}(p) \geq k + 2$ .*

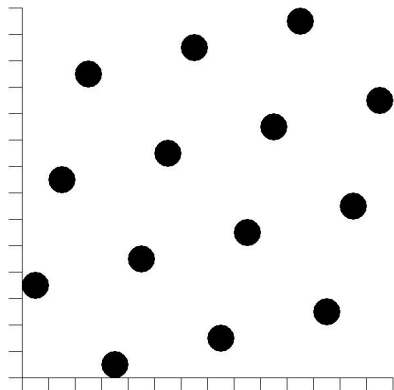


$p^4 = 3\ 6\ 1\ 4\ 7\ 2\ 5$  and  $p^5 = 4\ 8\ 12\ 1\ 5\ 9\ 13\ 2\ 6\ 10\ 14\ 3\ 7\ 11$





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(In general,  $|p^m| = (m - 1)^2 - 2$ )

## Further Questions